

Company Name: GMO Financial Gate, Inc.

Representative: Kentaro Sugiyama

President & Chief Executive Officer

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Director, Corporate Support Division

**Executive Officer** 

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## Announcement of Position and Policies on Lowering Investment Units

GMO Financial Gate, Inc. (the "Company") hereby announces its position and policies on lowering the investment units of the Company's shares as follows.

1. Position on Lowering Investment Units

The Company recognizes that lowering investment units is one of the effective measures to enhance liquidity in the equity market and to broaden its shareholder base by improving the accessibility of its shares for individual investors.

2. Policies on Lowering Investment Units

The Company implemented a stock split at a ratio of two shares for each share of common stock as of January 17, 2023. While no specific measures or timing for any further lowering of investment units have been determined at this time, the Company will continue to consider appropriate actions, taking into account factors such as the share price level, market trends and supply and demand of the Company's shares, the composition of shareholders, and other relevant circumstances, as well as the interests and benefits of shareholders.